

INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/ HO/ CFD/ DCR2/P/ CIR/ 2021/ 0661 DATED NOVEMBER 23, 2021

Collective Investor Complaint Data

Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board/ SME, Rights Issue, Qualified Institutional Placement (QIPs), Preferential Issue, Buyback of Securities, Delisting of Securities, Substantial Acquisition of Shares & Takeovers

Data for month ending July 2023 is as follows:

| Sr. No. | Received from | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|------------|------------------------------|-------------------------------------|---|--|---|------------------------------|--|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | NA |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | NA |
| 3 | Stock exchanges(if relevant) | Nil | Nil | Nil | Nil | Nil | NA |
| 4 | Other Sources(if any) | Nil | Nil | Nil | Nil | Nil | NA |
| 5 | Grand Total | Nil | Nil | Nil | Nil | Nil | NA |

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

| Sr. No. | Month | Carried forward from previous | Received during particular month | Resolved during particular | Pending at the end of particularmonth# | |
|------------|-------------|-------------------------------|----------------------------------|----------------------------|--|--|
| | | month | | month* | | |
| 1. | March 2023 | Nil | Nil | Nil | Nil | |
| 2. | April 2023 | Nil | Nil | Nil | Nil | |
| 3. | May 2023 | Nil | Nil | Nil | Nil | |
| 4. | June 2023 | Nil | Nil | Nil | Nil | |
| 5. | July 2023 | Nil | Nil | Nil | Nil | |
| | Grand Total | Nil | Nil | Nil | Nil | |

Trend of Annual (financial year) disposal of complaints (for 5 years on rollingbasis):

| Sr. No. | Year | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at theend of particular year |
|------------|-------------|--|---------------------------------------|---------------------------------------|--------------------------------------|
| 1. | 2021 | Nil | Nil | Nil | Nil |
| 2. | 2022 | Nil | Nil | Nil | Nil |
| 3. | 2023 | + | + | + | + |
| 4. | 2024 | + | + | + | + |
| 5. | 2025 | + | + | + | + |
| | Grand total | - | - | - | - |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the currentmonth divided by total number of complaints resolved in the current month.

Web.: www.ftfinsec.com

^{*} Inclusive of complaints of previous months resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month.

⁺ the relevant period has not been completed.