



**INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/ HO/ CFD/ DCR2/P/ CIR/ 2021/ 0661 DATED NOVEMBER 23, 2021**

**1. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board/ SME**

Data for month ending December 2022 is as follows:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	NA
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
5	<b>Grand Total</b>	Nil	Nil	Nil	Nil	Nil	NA

**Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-**

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month #
1.	August 2022	Nil	Nil	Nil	Nil
2.	September 2022	Nil	Nil	Nil	Nil
3.	October 2022	Nil	Nil	Nil	Nil
4.	November 2022	Nil	Nil	Nil	Nil
5.	December 2022	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

**Trend of Annual (financial year) disposal of complaints (for 5 years on rolling basis):**

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	<b>Grand total</b>	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

**Fast Track Finsec Private Limited**

CIN : U65191DL2010PTC200381

Regd. Off. : B-502 | Statesman House | 148 | Barakhamba Road | New Delhi - 110001

Branch Off. : B-702 | Neelkanth Business Park | Vidya Vihar (W) | Mumbai - 400086

Off. : +91-011-43029809, E-mail : mb@ftfinsec.com

Web. : www.ftfinsec.com



2. **Right Issue:**

Data for month ending December 2022 is as follows:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	NA
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
5	<b>Grand Total</b>	Nil	Nil	Nil	Nil	Nil	NA

**Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-**

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month #
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2.	September 2022	Nil	Nil	Nil	Nil
3.	October 2022	Nil	Nil	Nil	Nil
4.	November 2022	Nil	Nil	Nil	Nil
5.	December 2022	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

**Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):**

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	<b>Grand total</b>	-	-	-	-

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

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3. Qualified Institutional Placement (QIPs)

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1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	NA
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
5	<b>Grand Total</b>	Nil	Nil	Nil	Nil	Nil	NA

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month#
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3.	October 2022	Nil	Nil	Nil	Nil
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5.	December 2022	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	<b>Grand total</b>	-	-	-	-

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4. Preferential Issue

Data for month ending December 2022 is as follows:

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2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	NA
3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
5	<b>Grand Total</b>	Nil	Nil	Nil	Nil	Nil	NA

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during particular month	Resolved during particular month*	Pending at the end of particular month #
1.	August 2022	Nil	Nil	Nil	Nil
2.	September 2022	Nil	Nil	Nil	Nil
3.	October 2022	Nil	Nil	Nil	Nil
4.	November 2022	Nil	Nil	Nil	Nil
5.	December 2022	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	+	+	+	+
3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	<b>Grand total</b>	-	-	-	-

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**5. Buyback of Securities**

for month ending December 2022 is as follows:

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3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
5	<b>Grand Total</b>	Nil	Nil	Nil	Nil	Nil	NA

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	<b>Grand Total</b>	Nil	Nil	Nil	Nil

**Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):**

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4.	2024	+	+	+	+
5.	2025	+	+	+	+
	<b>Grand total</b>	-	-	-	-

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**6. Delisting of Securities**

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3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
5	<b>Grand Total</b>	Nil	Nil	Nil	Nil	Nil	NA

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5.	2025	+	+	+	+
	<b>Grand total</b>	-	-	-	-

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**7. Substantial Acquisition of Shares & Takeovers**

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3	Stock exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	NA
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5.	December 2022	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	Nil	Nil	Nil	Nil

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3.	2023	+	+	+	+
4.	2024	+	+	+	+
5.	2025	+	+	+	+
	<b>Grand total</b>	-	-	-	-

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