



INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/ HO/ CFD/ DCR2/P/ CIR/ 2021/ 0661 DATED NOVEMBER 23, 2021

1. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board/ SME

Data for month ending February 2024 is as follows:

| Sr. No. | Received from | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | NA |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | NA |
| 3 | Stock exchanges(if relevant) | Nil | Nil | Nil | Nil | Nil | NA |
| 4 | Other Sources(if any) | Nil | Nil | Nil | Nil | Nil | NA |
| 5 | Grand Total | Nil | Nil | Nil | Nil | Nil | NA |

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

| Sr. No. | Month | Carried forward from previous month | Received during particular month | Resolved during Particular month* | Pending at the end of particular month# |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|---|
| 1. | October 2023 | Nil | Nil | Nil | Nil |
| 2. | November 2023 | Nil | Nil | Nil | Nil |
| 3. | December 2023 | Nil | Nil | Nil | Nil |
| 4. | January 2024 | Nil | Nil | Nil | Nil |
| 5. | February 2024 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil |

Trend of Annual (financial year) disposal of complaints (for 5 years on rolling basis):

| Sr. No. | Year | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1. | 2021 | Nil | Nil | Nil | Nil |
| 2. | 2022 | Nil | Nil | Nil | Nil |
| 3. | 2023 | + | + | + | + |
| 4. | 2024 | + | + | + | + |
| 5. | 2025 | + | + | + | + |
| | Grand total | - | - | - | - |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

FAST TRACK FINSEC PRIVATE LIMITED

CIN:- U65191DL2010PTC200381 | AIBI Membership No.:- AIBI/149

Registration Code:- INM000012500 | GST No:- 07AABCF4818P1Z9

Regd. Off.: Office No. V-116|New Delhi House|27|BarakhambaRoad|New Delhi – 110001

Off.: +91-011-4302980 | Web.: www.ftfinsec.com



2. **Right Issue:**

Data for month ending February 2024 is as follows:

| Sr. No. | Received from | Pending as at the end of last Month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | NA |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | NA |
| 3 | Stock exchanges (if relevant) | Nil | Nil | Nil | Nil | Nil | NA |
| 4 | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | NA |
| 5 | Grand Total | Nil | Nil | Nil | Nil | Nil | NA |

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

| Sr. No. | Month | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month# |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|---|
| 1. | October 2023 | Nil | Nil | Nil | Nil |
| 2. | November 2023 | Nil | Nil | Nil | Nil |
| 3. | December 2023 | Nil | Nil | Nil | Nil |
| 4. | January 2024 | Nil | Nil | Nil | Nil |
| 5. | February 2024 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil |

Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):

| Sr. No. | Year | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1. | 2021 | Nil | Nil | Nil | Nil |
| 2. | 2022 | Nil | Nil | Nil | Nil |
| 3. | 2023 | + | + | + | + |
| 4. | 2024 | + | + | + | + |
| 5. | 2025 | + | + | + | + |
| | Grand total | - | - | - | - |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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3. Qualified Institutional Placement (QIPs)

Data for month ending February 2024 is as follows:

| Sr. No. | Received from | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | NA |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | NA |
| 3 | Stock exchanges (if relevant) | Nil | Nil | Nil | Nil | Nil | NA |
| 4 | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | NA |
| 5 | Grand Total | Nil | Nil | Nil | Nil | Nil | NA |

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

| Sr. No. | Month | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month# |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|---|
| 1. | October 2023 | Nil | Nil | Nil | Nil |
| 2. | November 2023 | Nil | Nil | Nil | Nil |
| 3. | December 2023 | Nil | Nil | Nil | Nil |
| 4. | January 2024 | Nil | Nil | Nil | Nil |
| 5. | February 2024 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil |

Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):

| Sr. No. | Year | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1. | 2021 | Nil | Nil | Nil | Nil |
| 2. | 2022 | Nil | Nil | Nil | Nil |
| 3. | 2023 | + | + | + | + |
| 4. | 2024 | + | + | + | + |
| 5. | 2025 | + | + | + | + |
| | Grand total | - | - | - | - |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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4. Preferential Issue

Data for month ending February 2024 is as follows:

| Sr. No. | Received from | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | NA |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | NA |
| 3 | Stock exchanges (if relevant) | Nil | Nil | Nil | Nil | Nil | NA |
| 4 | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | NA |
| 5 | Grand Total | Nil | Nil | Nil | Nil | Nil | NA |

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

| Sr. No. | Month | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month # |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|--|
| 1. | October 2023 | Nil | Nil | Nil | Nil |
| 2. | November 2023 | Nil | Nil | Nil | Nil |
| 3. | December 2023 | Nil | Nil | Nil | Nil |
| 4. | January 2024 | Nil | Nil | Nil | Nil |
| 5. | February 2024 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil |

Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):

| Sr. No. | Year | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1. | 2021 | Nil | Nil | Nil | Nil |
| 2. | 2022 | Nil | Nil | Nil | Nil |
| 3. | 2023 | + | + | + | + |
| 4. | 2024 | + | + | + | + |
| 5. | 2025 | + | + | + | + |
| | Grand total | - | - | - | - |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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5. Buyback of Securities

for month ending February 2024 is as follows:

| Sr. No. | Received from | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | NA |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | NA |
| 3 | Stock exchanges (if relevant) | Nil | Nil | Nil | Nil | Nil | NA |
| 4 | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | NA |
| 5 | Grand Total | Nil | Nil | Nil | Nil | Nil | NA |

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

| Sr. No. | Month | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month# |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|---|
| 1. | October 2023 | Nil | Nil | Nil | Nil |
| 2. | November 2023 | Nil | Nil | Nil | Nil |
| 3. | December 2023 | Nil | Nil | Nil | Nil |
| 4. | January 2024 | Nil | Nil | Nil | Nil |
| 5. | February 2024 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil |

Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):

| Sr. No. | Year | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1. | 2021 | Nil | Nil | Nil | Nil |
| 2. | 2022 | Nil | Nil | Nil | Nil |
| 3. | 2023 | + | + | + | + |
| 4. | 2024 | + | + | + | + |
| 5. | 2025 | + | + | + | + |
| | Grand total | - | - | - | - |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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6. Delisting of Securities

for month ending February 2024 is as follows:

| Sr. No. | Received from | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | NA |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | NA |
| 3 | Stock exchanges (if relevant) | Nil | Nil | Nil | Nil | Nil | NA |
| 4 | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | NA |
| 5 | Grand Total | Nil | Nil | Nil | Nil | Nil | NA |

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

| Sr. No. | Month | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month# |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|---|
| 1. | October 2023 | Nil | Nil | Nil | Nil |
| 2. | November 2023 | Nil | Nil | Nil | Nil |
| 3. | December 2023 | Nil | Nil | Nil | Nil |
| 4. | January 2024 | Nil | Nil | Nil | Nil |
| 5. | February 2024 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil |

Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):

| Sr. No. | Year | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1. | 2021 | Nil | Nil | Nil | Nil |
| 2. | 2022 | Nil | Nil | Nil | Nil |
| 3. | 2023 | + | + | + | + |
| 4. | 2024 | + | + | + | + |
| 5. | 2025 | + | + | + | + |
| | Grand total | - | - | - | - |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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7. Substantial Acquisition of Shares & Takeovers

for month ending February 2024 is as follows:

| Sr. No. | Received from | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1 | Directly from Investors | Nil | Nil | Nil | Nil | Nil | NA |
| 2 | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | NA |
| 3 | Stock exchanges (if relevant) | Nil | Nil | Nil | Nil | Nil | NA |
| 4 | Other Sources (if any) | Nil | Nil | Nil | Nil | Nil | NA |
| 5 | Grand Total | Nil | Nil | Nil | Nil | Nil | NA |

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

| Sr. No. | Month | Carried forward From previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month# |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|---|
| 1. | October 2023 | Nil | Nil | Nil | Nil |
| 2. | November 2023 | Nil | Nil | Nil | Nil |
| 3. | December 2023 | Nil | Nil | Nil | Nil |
| 4. | January 2024 | Nil | Nil | Nil | Nil |
| 5. | February 2024 | Nil | Nil | Nil | Nil |
| | Grand Total | Nil | Nil | Nil | Nil |

Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):

| Sr. No. | Year | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1. | 2021 | Nil | Nil | Nil | Nil |
| 2. | 2022 | Nil | Nil | Nil | Nil |
| 3. | 2023 | + | + | + | + |
| 4. | 2024 | + | + | + | + |
| 5. | 2025 | + | + | + | + |
| | Grand total | - | - | - | - |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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