



**INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/ HO/ CFD/ DCR2/P/ CIR/ 2021/ 0661 DATED NOVEMBER 23, 2021**

**1. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board/ SME**

Data for month ending January 2022 is as follows:

| Sr. No. | Received from                 | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1       | Directly from Investors       | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 2       | SEBI (SCORES)                 | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 3       | Stock exchanges (if relevant) | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 4       | Other Sources (if any)        | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 5       | <b>Grand Total</b>            | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |

**Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-**

| Sr. No. | Month              | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month # |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|--|
| 1.      | January 2022       | Nil                                 | Nil                              | Nil                               | Nil                                      |
| 2.      | February 2022      | +                                   | +                                | +                                 | +  |
| 3.      | March 2022         | +                                   | +                                | +                                 | +  |
| 4.      | April 2022         | +                                   | +                                | +                                 | +  |
| 5.      | May 2022           | +                                   | +                                | +                                 | +  |
|         | <b>Grand Total</b> | -                                   | -                                | -                                 | -  |

**Trend of Annual (financial year) disposal of complaints (for 5 years on rolling basis):**

| Sr. No. | Year               | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1.      | 2021               | Nil                                | Nil                             | Nil                             | Nil                                   |
| 2.      | 2022               | +                                  | +                               | +                               | +                                     |
| 3.      | 2023               | +                                  | +                               | +                               | +                                     |
| 4.      | 2024               | +                                  | +                               | +                               | +                                     |
| 5.      | 2025               | +                                  | +                               | +                               | +                                     |
|         | <b>Grand total</b> | -                                  | -                               | -                               | -                                     |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

**Fast Track Finsec Private Limited**

CIN : U65191DL2010PTC200381

Regd. Off. : B-502 | Statesman House | 148 | Barakhamba Road | New Delhi - 110001

Branch Off. : B-702 | Neelkanth Business Park | Vidyavihar (W) | Mumbai - 400086

Off. : +91-011-43029809, E-mail : mb@ftfinsec.com

Web. : www.ftfinsec.com



2. **Right Issue:**

Data for month ending January 2022 is as follows:

| Sr. No. | Received from                 | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1       | Directly from Investors       | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 2       | SEBI (SCORES)                 | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 3       | Stock exchanges (if relevant) | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 4       | Other Sources (if any)        | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 5       | <b>Grand Total</b>            | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |

**Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-**

| Sr. No. | Month              | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month # |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|--|
| 1.      | January 2022       | Nil                                 | Nil                              | Nil                               | Nil                                      |
| 2.      | February 2022      | +                                   | +                                | +                                 | +  |
| 3.      | March 2022         | +                                   | +                                | +                                 | +  |
| 4.      | April 2022         | +                                   | +                                | +                                 | +  |
| 5.      | May 2022           | +                                   | +                                | +                                 | +  |
|         | <b>Grand Total</b> | -                                   | -                                | -                                 | -  |

**Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):**

| Sr. No. | Year               | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1.      | 2021               | Nil                                | Nil                             | Nil                             | Nil                                   |
| 2.      | 2022               | +                                  | +                               | +                               | +                                     |
| 3.      | 2023               | +                                  | +                               | +                               | +                                     |
| 4.      | 2024               | +                                  | +                               | +                               | +                                     |
| 5.      | 2025               | +                                  | +                               | +                               | +                                     |
|         | <b>Grand total</b> | -                                  | -                               | -                               | -                                     |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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3. Qualified Institutional Placement (QIPs)

Data for month ending January 2022 is as follows:

| Sr. No. | Received from                 | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1       | Directly from Investors       | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 2       | SEBI (SCORES)                 | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 3       | Stock exchanges (if relevant) | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 4       | Other Sources (if any)        | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 5       | <b>Grand Total</b>            | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

| Sr. No. | Month              | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month# |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|---|
| 1.      | January 2022       | Nil                                 | Nil                              | Nil                               | Nil                                     |
| 2.      | February 2022      | +                                   | +                                | +                                 | +                                       |
| 3.      | March 2022         | +                                   | +                                | +                                 | +                                       |
| 4.      | April 2022         | +                                   | +                                | +                                 | +                                       |
| 5.      | May 2022           | +                                   | +                                | +                                 | +                                       |
|         | <b>Grand Total</b> | -                                   | -                                | -                                 | -                                       |

Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):

| Sr. No. | Year               | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1.      | 2021               | Nil                                | Nil                             | Nil                             | Nil                                   |
| 2.      | 2022               | +                                  | +                               | +                               | +                                     |
| 3.      | 2023               | +                                  | +                               | +                               | +                                     |
| 4.      | 2024               | +                                  | +                               | +                               | +                                     |
| 5.      | 2025               | +                                  | +                               | +                               | +                                     |
|         | <b>Grand total</b> | -                                  | -                               | -                               | -                                     |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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4. Preferential Issue

Data for month ending January 2022 is as follows:

| Sr. No. | Received from                 | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1       | Directly from Investors       | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 2       | SEBI (SCORES)                 | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 3       | Stock exchanges (if relevant) | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 4       | Other Sources (if any)        | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 5       | <b>Grand Total</b>            | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |

Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-

| Sr. No. | Month              | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month # |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|--|
| 1.      | January 2022       | Nil                                 | Nil                              | Nil                               | Nil                                      |
| 2.      | February 2022      | +                                   | +                                | +                                 | +  |
| 3.      | March 2022         | +                                   | +                                | +                                 | +  |
| 4.      | April 2022         | +                                   | +                                | +                                 | +  |
| 5.      | May 2022           | +                                   | +                                | +                                 | +  |
|         | <b>Grand Total</b> | -                                   | -                                | -                                 | -  |

Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):

| Sr. No. | Year               | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1.      | 2021               | Nil                                | Nil                             | Nil                             | Nil                                   |
| 2.      | 2022               | +                                  | +                               | +                               | +                                     |
| 3.      | 2023               | +                                  | +                               | +                               | +                                     |
| 4.      | 2024               | +                                  | +                               | +                               | +                                     |
| 5.      | 2025               | +                                  | +                               | +                               | +                                     |
|         | <b>Grand total</b> | -                                  | -                               | -                               | -                                     |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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**5. Buyback of Securities**

for month ending January 2022 is as follows:

| Sr. No. | Received from                 | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1       | Directly from Investors       | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 2       | SEBI (SCORES)                 | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 3       | Stock exchanges (if relevant) | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 4       | Other Sources (if any)        | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 5       | <b>Grand Total</b>            | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |

**Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-**

| Sr. No. | Month              | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month# |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|---|
| 1.      | January 2022       | Nil                                 | Nil                              | Nil                               | Nil                                     |
| 2.      | February 2022      | +                                   | +                                | +                                 | +                                       |
| 3.      | March 2022         | +                                   | +                                | +                                 | +                                       |
| 4.      | April 2022         | +                                   | +                                | +                                 | +                                       |
| 5.      | May 2022           | +                                   | +                                | +                                 | +                                       |
|         | <b>Grand Total</b> | -                                   | -                                | -                                 | -                                       |

**Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):**

| Sr. No. | Year               | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1.      | 2021               | Nil                                | Nil                             | Nil                             | Nil                                   |
| 2.      | 2022               | +                                  | +                               | +                               | +                                     |
| 3.      | 2023               | +                                  | +                               | +                               | +                                     |
| 4.      | 2024               | +                                  | +                               | +                               | +                                     |
| 5.      | 2025               | +                                  | +                               | +                               | +                                     |
|         | <b>Grand total</b> | -                                  | -                               | -                               | -                                     |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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**6. Delisting of Securities**

for month ending January 2022 is as follows:

| Sr. No. | Received from                 | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1       | Directly from Investors       | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 2       | SEBI (SCORES)                 | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 3       | Stock exchanges (if relevant) | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 4       | Other Sources (if any)        | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 5       | <b>Grand Total</b>            | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |

**Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-**

| Sr. No. | Month              | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month # |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|--|
| 1.      | January 2022       | Nil                                 | Nil                              | Nil                               | Nil                                      |
| 2.      | February 2022      | +                                   | +                                | +                                 | +  |
| 3.      | March 2022         | +                                   | +                                | +                                 | +  |
| 4.      | April 2022         | +                                   | +                                | +                                 | +  |
| 5.      | May 2022           | +                                   | +                                | +                                 | +  |
|         | <b>Grand Total</b> | -                                   | -                                | -                                 | -  |

**Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):**

| Sr. No. | Year               | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1.      | 2021               | Nil                                | Nil                             | Nil                             | Nil                                   |
| 2.      | 2022               | +                                  | +                               | +                               | +                                     |
| 3.      | 2023               | +                                  | +                               | +                               | +                                     |
| 4.      | 2024               | +                                  | +                               | +                               | +                                     |
| 5.      | 2025               | +                                  | +                               | +                               | +                                     |
|         | <b>Grand total</b> | -                                  | -                               | -                               | -                                     |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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**7. Substantial Acquisition of Shares & Takeovers**

for month ending January 2022 is as follows:

| Sr. No. | Received from                 | Pending as at the end of last month | Received during particular month | Resolved during particular month* | Total Pending during particular month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|----------------------------------|-----------------------------------|---|------------------------------|------------------------------------|
| 1       | Directly from Investors       | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 2       | SEBI (SCORES)                 | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 3       | Stock exchanges (if relevant) | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 4       | Other Sources (if any)        | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |
| 5       | <b>Grand Total</b>            | Nil                                 | Nil                              | Nil                               | Nil                                     | Nil                          | NA                                 |

**Trend of monthly disposal of complaints for the (For 5 months on rolling basis)-**

| Sr. No. | Month              | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month # |
|---------|--------------------|-------------------------------------|----------------------------------|-----------------------------------|--|
| 1.      | January 2022       | Nil                                 | Nil                              | Nil                               | Nil                                      |
| 2.      | February 2022      | +                                   | +                                | +                                 | +  |
| 3.      | March 2022         | +                                   | +                                | +                                 | +  |
| 4.      | April 2022         | +                                   | +                                | +                                 | +  |
| 5.      | May 2022           | +                                   | +                                | +                                 | +  |
|         | <b>Grand Total</b> | -                                   | -                                | -                                 | -  |

**Trend of annual (financial year) disposal of complaints (for 5 years on rolling basis):**

| Sr. No. | Year               | Carried forward from previous year | Received during particular year | Resolved during particular year | Pending at the end of particular year |
|---------|--------------------|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|
| 1.      | 2021               | Nil                                | Nil                             | Nil                             | Nil                                   |
| 2.      | 2022               | +                                  | +                               | +                               | +                                     |
| 3.      | 2023               | +                                  | +                               | +                               | +                                     |
| 4.      | 2024               | +                                  | +                               | +                               | +                                     |
| 5.      | 2025               | +                                  | +                               | +                               | +                                     |
|         | <b>Grand total</b> | -                                  | -                               | -                               | -                                     |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

+ the relevant period has not been completed.

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